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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/614,660  
Filing Date ..... Jul 12, 2000  
Inventor..... Kirovski et al.  
Applicant ..... Microsoft Corporation  
Group Art Unit .....  
Examiner .....  
Attorney's Docket No. .... MS1-570US  
Title: Improved Stealthy Audio Watermarking

#9

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 CFR 1.97(e)

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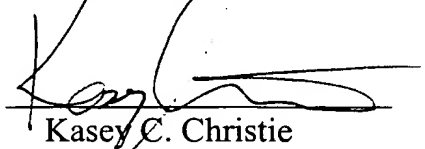
Technology Center 2600

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Respectfully Submitted,

Date: 2-14-02

By:   
Kasey C. Christie  
Reg. No. 40,559



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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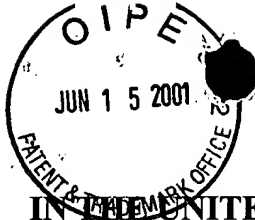
|   |                             |   |
|---|-----------------------------|---|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/614,660                              |
|   | <b>Filing Date</b>          | Jul 12, 2000                            |
|   | <b>First Named Inventor</b> | Kirovski                                |
|   | <b>Group Art Unit</b>       |   |
|   | <b>Examiner Name</b>        |   |
| <b>Total Number of Pages in This Submission</b>   |                             | <b>Attorney Docket Number</b> MS1-570US |

| ENCLOSURES (check all that apply)   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s) Sheets<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO 1449 Form<br>Cited Reference<br>Return Post Card |
| <b>Remarks</b>  |  | <b>RECEIVED</b><br><b>MAR 06 2002</b><br><b>Technology Center 2600</b>  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                   |
|--|-------------------|
| Firm or Individual name                    | Kasey G. Christie |
| Signature                                  |                   |
| Date                                       | 2-14-02           |

| CERTIFICATE OF EXPRESS MAILING   |                  |      |  |
|--|------------------|------|--|
| I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. The Express Mail No. has also been marked on the listed items.<br>Express Mail No. |                  |      |  |
| Typed or printed name  | LeAnn M. Sassman |      |  |
| Signature  |                  | Date |  |

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No ..... 09/614,660  
Filing Date ..... 7/12/2000  
Inventorship ..... Malvar et al.  
Group Art Unit.....  
Examiner.....  
Applicant..... Microsoft Corporation  
Attorney's Docket No. .... MS-9005  
Title: Improved Stealthy Audio Watermarking

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: The Commissioner of Patents & Trademarks  
Washington, D.C. 20231

From: Kasey C. Christie (Tel. 509-324-9256; Fax 509-323-8979)  
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The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Information Disclosure Statement, Form PTO-1449 and 2 cited references
3. Return Post Card

Large Entity Status [x]

Small Entity Status [ ]

*Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.*

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 6/12/01

By: Kasey C. Christie

Kasey C. Christie  
Reg. No. 40,559

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) \_\_\_\_\_

Date: 6/12/01

By: LeAnn M. Sassman

LeAnn M. Sassman